



Attorney Docket No. 491.036U

SCHWEGMAN, LUNDBERG, WOESSNER &amp; KLUTH, P.A.

# United States Patent Application

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: PROCESSING APPARATUS AND METHOD.

The specification of which was filed on January 5, 2000 as application serial no. 09/478,624 and was amended on January 5, 2000.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

Foreign application(s), if any, claiming priority under 35 U.S.C. § 119:

<u>Application Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>
9809670.4	Great Britain	06/05/1998
9814615.2	Great Britain	06/07/1998

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Number</u>	<u>Filing Date</u>	<u>Status</u>
PCT/GB99/01390	May 5, 1999	Complete

Attorney Docket No.: 491.036US1

Serial No. 09/478,624

Filing Date: January 5, 2000

Page 2 of

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Adams, Gregory J.	Reg. No. 44,494	Jurkovich, Patti J.	Reg. No. 44,813	Nielsen, Walter W.	Reg. No. 25,539
Anglin, J. Michael	Reg. No. 24,916	Kalis, Janal M.	Reg. No. 37,650	Oh, Allen J.	Reg. No. 42,047
Bianchi, Timothy E.	Reg. No. 39,610	Kaufmann, John D.	Reg. No. 24,017	Padys, Danny J.	Reg. No. 35,635
Billion, Richard F.	Reg. No. 32,836	Klima-Silberg, Catherine I.	Reg. No. 40,052	Parker, J. Kevin	Reg. No. 33,024
Black, David W.	Reg. No. 42,331	Kluth, Daniel J.	Reg. No. 32,146	Peacock, Gregg A.	Reg. No. 45,001
Brennan, Leonide M.	Reg. No. 35,832	Lacy, Rodney L.	Reg. No. 41,136	Pedok, Monique M.	Reg. No. 42,989
Brennan, Thomas F.	Reg. No. 35,075	Leffert, Thomas W.	Reg. No. 40,697	Polglaze, Daniel J.	Reg. No. 39,801
Brooks, Edward J., III	Reg. No. 40,925	Lemaire, Charles A.	Reg. No. 36,198	Prout, William F.	Reg. No. 33,995
Chu, Dinh C.P.	Reg. No. 41,676	Litman, Mark A.	Reg. No. 26,390	Schumm, Sherry W.	Reg. No. 39,422
Clark, Barbara J.	Reg. No. 38,107	Lundberg, Steven W.	Reg. No. 30,568	Schwegman, Michael L.	Reg. No. 25,816
Dahl, John M.	Reg. No. 44,639	Mack, Lisa K.	Reg. No. 42,825	Slifer, Russell D.	Reg. No. 39,838
Drake, Eduardo E.	Reg. No. 40,594	Macyact, Paul L.	Reg. No. 40,076	Smith, Michael G.	Reg. No. 45,368
Eliscova, Maria M.	Reg. No. 43,328	Maki, Peter C.	Reg. No. 42,832	Speier, Gary J.	Reg. No. P-45,45
Embretson, Janet E.	Reg. No. 39,665	Malen, Peter L.	Reg. No. 44,894	Steffey, Charles E.	Reg. No. 25,179
Fogg, David N.	Reg. No. 35,138	Mates, Robert E.	Reg. No. 35,271	Terry, Kathleen R.	Reg. No. 31,884
Fordenbacher, Paul J.	Reg. No. 42,546	McCrackin, Ann M.	Reg. No. 42,858	Tong, Viet V.	Reg. No. P-45,41
Forrest, Bradley A.	Reg. No. 30,837	Nama, Kash	Reg. No. 44,255	Viksins, Ann S.	Reg. No. 37,748
Harris, Robert J.	Reg. No. 37,346	Nelson, Albin J.	Reg. No. 28,650	Woessner, Warren D.	Reg. No. 30,440
Hubsch, Joseph C.	Reg. No. 42,673				

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:

P.O. Box 2938, Minneapolis, MN 55402

Telephone No. (612)373-6900

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1: Soren Stammers

Citizenship: United States of America

Residence: Kentfield, CA

Post Office Address: Ministry of Software  
65 Berens Drive  
Kentfield, CA 94904

Signature: \_\_\_\_\_

Soren Stammers

Date: \_\_\_\_\_

Full Name of joint inventor number 2: Jamie A. Band

Citizenship: Great Britain

Residence: London, England

Post Office Address: JCP Computer Services Ltd.  
16 St. Johns Lane  
London EC1M 4BS  
England

Signature: \_\_\_\_\_

Jamie A. Band

Date: 4/4/2000

☒ Additional inventors are being named on separately numbered sheets, attached hereto.

Amey Docket No. 491.036US1  
Serial No. 09/478,624  
Filing Date: January 5, 2000

Page 3 of 3

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Full Name of joint inventor number 3: Andrew P. Sadler  
Citizenship: Great Britain  
Post Office Address: JCP Computer Services Ltd.  
16 St. Johns Lane  
London EC1M 4BS  
England

Residence: London, England

Signature: \_\_\_\_\_

Andrew P. Sadler

Date: \_\_\_\_\_

23/3/2000

Full Name of joint inventor number 4: Andrew J. Patterson  
Citizenship: Great Britain  
Post Office Address: JCP Computer Services Ltd.  
16 St. Johns Lane  
London EC1M 4BS  
England

Residence: London, England

Signature: \_\_\_\_\_

Andrew J. Patterson

Date: \_\_\_\_\_

23/03/2000

Full Name of inventor:  
Citizenship:  
Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of inventor:  
Citizenship:  
Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe an pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



SCHWEGMAN, LUNDBERG, WOESSNER &amp; KLUTH, P.A.

**United States Patent Application**  
COMBINED DECLARATION AND POWER OF ATTORNEY

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<u>Application Number</u>	<u>Filing Date</u>	<u>Status</u>
PCT/GB99/01390	May 5, 1999	Complete

Doccket No.: 491.036US1  
No. 09/478,624  
Date: January 5, 2000

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact business in the Patent and Trademark Office connected herewith:

Gregory J.  
J. Michael  
Timothy E.  
Richard E.  
David W.  
Leonide M.  
Thomas F.  
Edward J., III  
Dinh C.P.  
Barbara J.  
John M.  
Eduardo E.  
Maria M.  
Janet F.  
David N.  
Paul J.  
Bradley A.  
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Reg. No. 39,610  
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Reg. No. 25,179  
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Reg. No. P-45,416  
Reg. No. 37,748  
Reg. No. 30,440

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.  
Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:  
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Full Name of joint inventor number 1: Soren Stammers  
Citizenship: United States of America  
Post Office Address: Ministry of Software  
65 Berens Drive  
Kentfield, CA 94904

Residence: Kentfield, CA

Signature: \_\_\_\_\_

Soren Stammers

Date: 3 / April / 00

Full Name of joint inventor number 2: Jamie A. Band  
Citizenship: Great Britain  
Post Office Address: JCP Computer Services Ltd.  
16 St Johns Lane  
London EC1M 4BS  
England

Residence: London, England

Signature: \_\_\_\_\_

Jamie A. Band

Date: \_\_\_\_\_

☒ Additional inventors are being named on separately numbered sheets, attached hereto.

Attorney Docket No.: 491.036US1  
Serial No. 09/478,624  
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England

Residence: London, England

Signature: \_\_\_\_\_

Andrew P. Sadler

Date: \_\_\_\_\_

23/3/2000

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England

Residence: London, England

Signature: \_\_\_\_\_

Andrew J. Patterson

Date: \_\_\_\_\_

23/03/2000

Full Name of inventor:  
Citizenship:  
Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

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